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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **96700/658**  
 First Inventor **Stefan Somlo**  
 Title **POLYCYSTIC KIDNEY DISEASE PKD2 GENE AND  
USES THEREOF**  
 Express Mail Label No. **EL632296833US**

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **25**]  
(preferred arrangement set forth below)  
 - Descriptive title of the invention  
 - Cross Reference to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to sequence listing, a table,  
 or a computer program listing appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **12**]
5. Oath or Declaration [Total Pages **1**]  
 a. ☐ Newly executed (original or copy)  
 Copy from a prior application (37 CFR 1.63 (d))  
 (for continuation/divisional with Box 17 completed)  
 i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)  
 8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
 a. ☐ Computer Readable Form (CRF)  
 b. Specification Sequence Listing on:  
 i. ☐ CD-ROM or CD-R (2 copies); or  
 ii. ☐ paper  
 c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))  
 10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
 (when there is an assignee) Attorney  
 11. ☐ English Translation Document (if applicable)  
 12. ☐ Information Disclosure ☐ Copies of IDS  
 Statement (IDS)/PTO-1449 Citations  
 13. ☐ Preliminary Amendment  
 14. ☒ Return Receipt Postcard (MPEP 503)  
 (Should be specifically itemized)  
 15. ☐ Certified Copy of Priority Document(s)  
 (if foreign priority is claimed)  
 16. ☐ Other: .....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
 or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. **09/385,752**  
 Prior application information Examiner **D. Srivastava** Group I Art Unit **1653**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
 Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

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Name (Print/Type) **Craig J. Arnold** Registration No. (Attorney/Agent) **34,287**  
 Signature *Craig J. Arnold* Date **January 2, 2001**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on  
 the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC  
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January 2, 2001

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Box Patent Application

"Express Mail" mailing label no. EL632296833US

Date of Deposit: January 2, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Name: Craig J. Arnold

Signature: Craig J. Arnold

Re: Rule 1.53(b) Continuation Application of  
U.S. Patent Application No. 09/385,752  
Title: POLYCYSTIC KIDNEY DISEASE PKD2 GENE  
AND USES THEREOF  
Inventors: Stefan Somlo, et al.  
Our File: 96700/658

Dear Sir:

Pursuant to 37 C.F.R. § 1.53(b), enclosed please find a Continuation Application for patent in the names of Stefan Somlo and Toshio Mochizuki, including the following:

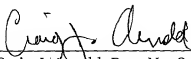
1. Utility Patent Application transmittal sheet;
2. a copy of the prior Application including specification (19 pages), claims (6 pages), abstract (1 page), figures (12 sheets);
3. the Declaration and Power of Attorney as originally filed (1 page); and
4. return receipt postcard.

January 2, 2001

Please acknowledge receipt of the enclosed papers by stamping the enclosed post card and return the same to us.

Respectfully submitted,

AMSTER, ROTHSTEIN & EBENSTEIN  
Attorneys for Applicants  
90 Park Avenue  
New York, New York 10016  
(212) 697-5995

By   
Craig J. Arnold, Reg. No. 34,287

Dated: January 2, 2001  
New York, New York

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